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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/629,448	07/29/2003	Bhooshan Prafulla Kelkar	CHA9 2003 003 US1	5732

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International Business Machines Corporation
Intellectual Property Law Dept
8501 IBM Drive
Charlotte, NC 28262-4333

EXAMINER

CLOW, LORI A

ART UNIT	PAPER NUMBER
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1631

MAIL DATE	DELIVERY MODE
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05/16/2007

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/629,448

Applicant(s)

KELKAR ET AL.

Examiner

Lori A. Clow, Ph.D.

Art Unit

1631

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 27 February 2007.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6, 10-16 and 20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-6, 10-16, and 20 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
 - ☐ Certified copies of the priority documents have been received in Application No. _____.
 - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Applicants' response, filed 27 February 2007, has been fully considered. Rejections and/or objections not reiterated from previous office actions are hereby withdrawn. The following rejections and/or objections are either reiterated or newly applied. They constitute the complete set presently being applied to the instant application.

Claims 1-6, 10-16, and 20 are currently pending. Claims 7-9 and 17-19 have been cancelled.

Specification

The attempt to incorporate subject matter into this application by reference to US Patent 6,436,642 and US Patent 6,406,853 is ineffective because the incorporation by reference introduces **NEW MATTER** in to the specification.

The amendment filed 27 February 2007 is objected to under 35 U.S.C. 132(a) because it introduces new matter into the disclosure. 35 U.S.C. 132(a) states that no amendment shall introduce new matter into the disclosure of the invention. The added material which is not supported by the original disclosure is as follows: see US Patents above.

Applicant is required to cancel the new matter in the reply to this Office Action.

Claim Rejections - 35 USC § 101

35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claims 1-6, 10-16, and 20 remain rejected under 35 U.S.C. 101 because the claimed invention is directed to non-statutory subject matter.

As was stated previously, the method and program product of the instant claims is directed determining similarity of gene expression profiled. The method comprises processing, listing, and providing an output. The instant method steps merely encompass data generated within a computer environment. The step of providing an output of the listing is not a recited physical method step. Further, no **specific** outcome is set forth in the claims such that the steps of the method produce a result that is immediately concrete, tangible, and useful. The output is not clearly defined as being a tangible output, for instance, to a user and therefore, the claim is non-statutory.

Response to Applicant's Arguments

1. Applicant argues the following:

“This rejection is respectfully traversed all the ground that the claims indeed do describe a method and a program product that produce a concrete, tangible and useful result. As evidenced by the references incorporated by reference in addition to applicants teachings in the background art, users in the medical profession find great value and usefulness that is tangible and concrete in methods for finding similar gene expression profiles. A problem existing in the prior art for finding such similar gene expression profiles is that current methods of discovering functional similarity in genes use only the intensity of expression. However, the intensity of gene expression can vary with time and follows a specific pattern. For example, progression through the eukaryotic cell cycle is known to be both regulated and accompanied by characteristic periodic fluctuations in the expression levels of numerous genes. Thus a better method was needed to find gene expression profiles in a data base of profiles that were indeed similar and applicants have invented such a method which they claim in clear concise and unambiguous language that has not been found to be anticipated or made obvious by any cited prior art teaching of which there are significant amounts extant”.

This is not persuasive. As emphasized by the New Interim Guidelines the claims will be evaluated for providing a practical application. A practical application is claimed if the claimed invention **physically transforms** an article or physical object to a different state or thing, or if

Art Unit: 1631

the claimed invention otherwise produces a concrete, tangible, and useful result. In the instant case, a **physical transformation of matter is not provided**, as the instant claims merely encompass non-physical (i.e. *in-silico*) method steps which do not result in a physical transformation of matter.

Therefore, the claims must be evaluated for providing a practical application that produces a concrete, tangible and useful result. The focus is not on the steps taken to achieve a particular result, but rather the **final result** achieved by the claimed invention. A claim may be statutory where it recites a result that is concrete (i.e. reproducible), tangible (i.e. communicated to a user), and useful (i.e. a specific and substantial). In the instant case, the claims do not recite a tangible result such that it is useful to one skilled in the art. For these reasons, the instant claims are not statutory.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 1, 2, 4, 6, 12, 14, 16, and 20 remain rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

These claims continue to recite “subsequences”. Applicant has not provided a meaning for “subsequences”. Applicant has stated the Figures 4 and 6 and paragraphs 48-50 describe subsequences. Figures 4 and 6 contain two gene expression profiles represented in graph form.

There is no indication of a “subsequence” there, unless it is the different sequences that are to be called “subsequences”. However, this is unclear. Clarification is still requested.

No claims are allowed.

THIS ACTION IS MADE FINAL. Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Inquiries

Papers related to this application may be submitted to Technical Center 1600 by facsimile transmission. Papers should be faxed to Technical Center 1600 via the PTO Fax Center. The faxing of such papers must conform with the notices published in the Official Gazette, 1096 OG 30 (November 15, 1988), 1156 OG 61 (November 16, 1993), and 1157 OG 94 (December 28, 1993) (See 37 CFR § 1.6(d)). The Central Fax Center Number is (571) 273-8300.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Lori A. Clow, Ph.D., whose telephone number is (571) 272-0715. The examiner can normally be reached on Monday-Friday from 10 am to 6:30 pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner’s supervisor, Ram Shukla can be reached on (571) 272-0735.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to (571) 272-0547.

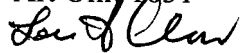
Art Unit: 1631

Patent applicants with problems or questions regarding electronic images that can be viewed in the Patent Application Information Retrieval system (PAIR) can now contact the USPTO's Patent Electronic Business Center (Patent EBC) for assistance. Representatives are available to answer your questions daily from 6 am to midnight (EST). The toll free number is (866) 217-9197. When calling please have your application serial or patent number, the type of document you are having an image problem with, the number of pages and the specific nature of the problem. The Patent Electronic Business Center will notify applicants of the resolution of the problem within 5-7 business days. Applicants can also check PAIR to confirm that the problem has been corrected. The USPTO's Patent Electronic Business Center is a complete service center supporting all patent business on the Internet. The USPTO's PAIR system provides Internet-based access to patent application status and history information. It also enables applicants to view the scanned images of their own application file folder(s) as well as general patent information available to the public.

May 13, 2007

Lori A. Clow, Ph.D.

Art Unit 1631

*Patent Examiner*